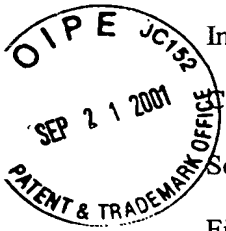


PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of :

CHRISTOPHERS, Enno, et al.

Serial No. : 09/868,569

Filed : 20 June 2001

Title: HUMAN ANTIBIOTIC PROTEINS

#5

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

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28 SEP 2001
Legal staff
International DivisionCITED MATERIALS

Applicant(s) wish to disclose the following information, listed in the attached form PTO-1449.

- ☐ Copies of materials listed but not attached were cited in parent application Serial No. _____.
- ☐ Copies of materials listed but not attached were cited in an International Search Report dated _____; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed _____.
- ☒ Copies of materials listed and attached were cited in a communication from a foreign patent office in a counterpart foreign application.
- ☐ Also included are the pending U.S. applications listed below, copies of which are attached:

FEES

- ☒ No fee is required for this Information Disclosure Statement because:
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
- ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
- ☐ This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

- ☐ A check is attached: This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in § 1.17(p).
- ☐ A check is attached: This Information Disclosure Statement is being filed after the mailing date of a notice of allowance under § 1.311 but before the payment of the issue fee and is accompanied by the fee set forth in § 1.17(p) and a certification below.

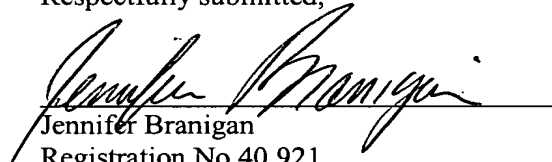
CERTIFICATION

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

If appropriate, attached is a check in the amount of \$ _____. However, the Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,


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Date: **21 September 2001**
JJB:K:\Sch\1813\Information Disclosure Statement.doc

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. SCH 1813A	SERIAL NO. 08/868,569
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Enno Christophers et al.	
		FILING DATE 20 June 2001	GROUP



U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA						
AB						
AC						
AD						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AM	0943679	9-22-1999	EP				
AN	9913080	3-18-1999	WO				
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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AV	ROSENBERG H F ET AL: "MOLECULAR CLONING AND CHARACTERIZATION OF A NOVEL HUMAN RIBONUCLEASE (RNASE K6): INCREASING DIVERSITY IN THE ENLARGING RIBONUCLEASE GENE FAMILY" NUCLEIC ACIDS RESEARCH, GB, OXFORD UNIVERSITY PRESS, SURREY, Bd. 24, Nr. 18, 1996, Seiten 3507-3513, XP002074516 ISSN: 0305-1048
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AY	
AZ	
Examiner	Date Considered